

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Steven M. Bloom et al.	Art Unit :	3624
Serial No. :	10/077,182	Examiner :	Chandler, Sara M
Filed :	February 15, 2002	Conf. No. :	9522
Title :	BALANCING ARBITRAGABLE TRACKING SECURITIES		

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JANUARY 18, 2007

Please amend the above-identified application as follows: